The search for topological superconductors (TSCs) is one of the most urgent contemporary problems in condensed matter systems. TSCs are characterized by a full superconducting gap in the bulk and topologically protected gapless surface (or edge) states. Within each vortex core of TSCs, there exists the zero-energy Majorana bound states, which are predicted to exhibit non-Abelian statistics and to form the basis of the fault-tolerant quantum computation. To date, no stoichiometric bulk material exhibits the required topological surface states (TSSs) at the Fermi level \( E_F \) combined with fully gapped bulk superconductivity. We report atomic-scale visualization of the TSSs of the noncentrosymmetric fully gapped superconductor PbTaSe\(_2\). Using quasi-particle scattering interference imaging, we find two TSSs with a Dirac point at \( E \approx 1.0 \text{ eV} \), of which the inner TSS and the partial outer TSS cross \( E_F \) on the Pb-terminated surface of this fully gapped superconductor. This discovery reveals PbTaSe\(_2\) as a promising candidate for TSC.

INTRODUCTION

The helical spin-polarized electrons on the surface of topological insulators (TIs) can host exotic excitations, such as Majorana fermions (1–6), when a topological surface state (TSS) goes superconducting. Two primary proposals for realizing such a topological superconductor (TSC) are (i) spin-triplet pairing bulk superconductivity (1, 2, 4) and (ii) superconducting TSSs induced by the proximity effect through an s-wave superconductor (3, 7). A chiral p-wave superconductor is a natural choice as a bulk TSC, but electrons rarely form spin-triplet Cooper pairs in real materials. The order parameter of the prime candidate Sr\(_2\)RuO\(_4\) remains under debate (8, 9), whereas the inhomogeneity within superconducting doped TIs hinders the confirmation of Majorana bound states (MBs) at the vortex cores (10). Therefore, searching for a TSC remains one of the grand challenges in current TI research. Noncentrosymmetric superconductors (NCSs) offer one of the most promising routes to realizing TSCs (11, 12). Antisymmetric spin-orbit coupling (SOC) due to the broken inversion symmetry can lift spin degeneracy, giving rise to topological phases and allowing the mixing of spin-triplet and singlet pairing channels. Hence, an NCS with dominating spin-triplet pairing can become a bulk TSC, whereas an NCS with dominating spin-singlet pairing in the bulk can still lead to a TSC on the surface if the fully gapped superconductivity were induced on TSSs. An NCS with a superconducting TSS is greatly desired to provide the required platform for TSC.

Noncentrosymmetric BiPd has been previously shown to exhibit a conventional Bardeen-Cooper-Schrieffer (BCS) s-wave superconducting state and TSSs above \( T_C \); however, TSSs in BiPd are absent at the Fermi level \( E_F \) and are thus unable to become a TSC (13, 14). Similarly, the observation of TSSs in centrosymmetric superconducting \( \beta\)-PdBi\(_2\) also attracts great interest (15). Parity mixing of pair potential may occur near the surface because the inversion symmetry is broken at the surface. Furthermore, the presence of TSSs at \( E_F \) in the normal state of \( \beta\)-PdBi\(_2\), determined by angle-resolved photoemission spectroscopy (ARPES) (15) and quasi-particle scattering interference (QPI) imaging (16), combined with the observation of fully gapped superconductivity in the bulk (16–18), makes \( \beta\)-PdBi\(_2\) a great candidate for TSC. The zero-energy bound states are absent at vortex cores of single-crystal samples (17); however, they have been observed on epitaxial \( \beta\)-PdBi\(_2\) thin films (19), indicating the possible existence of MBs. This difference could be due to defects scattering or different surface/sample preparations, thus calling for further experiments. Recently, PbTaSe\(_2\) has emerged as a strong candidate for TSC (20). ARPES measurements observe topological nodal lines around \( K \) and two surface bands (21). However, the existence of a Dirac point and the spin texture of these two surface bands have not yet been confirmed because the Dirac point energy \( E_D \) is located at several hundred millielectron volts above \( E_F \) and, hence, not accessible to ARPES. For the bulk superconducting state, although all recent bulk measurements indicate that PbTaSe\(_2\) is likely a conventional BCS s-wave superconductor (20, 22–25), it has been proposed that the superconductivity on TSSs induced by the proximity effect in PbTaSe\(_2\) can be stronger than that in TI/s-wave superconductor heterostructures (26). Therefore, it is critically important to confirm the existence of a superconducting TSS and to investigate the potential signature of any MBs in PbTaSe\(_2\).

Here, our density functional theory (DFT) calculations reveal that two TSSs with opposite helical spin polarization exist within the bulk gap at \( \Gamma \), touching at \( E_D \approx E_F + 1.0 \text{ eV} \). The inner TSS is fully isolated from the nearby bulk states at \( E_F \), whereas the outer TSS heavily merges with the bulk states at \( E_F \). The characteristic wave vectors and their energy dispersion measured by our QPI imaging at the normal state show remarkable agreement with our theoretically calculated spin-polarized TSS band structures on PbTaSe\(_2\). The tunneling spectrum at \( T = 0.26 \text{ K} \) reveals a full superconducting gap, indicating that the TSS becomes superconducting with bulk. Our vortex imaging also observes the zero-energy bound states at superconducting vortex cores. Our results demonstrate that stoichiometric PbTaSe\(_2\) is a strong candidate for TSC because its TSS can host Cooper pairs and

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1Department of Physics, National Taiwan University, Taipei 10617, Taiwan. 2Institute of Physics, Academia Sinica, Nankang, Taipei 11529, Taiwan. 3Nano Science and Technology Program, Taiwan International Graduate Program, Academia Sinica, Taipei 11529, Taiwan. 4Center for Condensed Matter Sciences, National Taiwan University, Taipei 10617, Taiwan. 5Department of Physics, National Tsing Hua University, Hsinchu 30013, Taiwan.

*These authors contributed equally to this work.

†Corresponding author. Email: jeng@phys.nthu.edu.tw (H.-T.J.); jasonc@phys.sinica.edu.tw (T.-M.C.)
becomes superconducting, providing a quintessential playground for nontrivial band topology and superconductivity.

RESULTS

Our PbTaSe₂ single crystals were grown by chemical vapor transport technique and exhibit a bulk superconducting transition at $T_C \sim 3.8$ K [details are described elsewhere (23)]. The crystal structure of PbTaSe₂ consists of alternating stacking of hexagonal 1H-TaSe₂ and Pb layers (Fig. 1A). Within the TaSe₂ layer, Ta atoms are suggested to reside at the same position within a unit cell (space group: $P6/m2$) (20), breaking the inversion symmetry. This is confirmed by our atomically resolved high-angle annular dark field (HAADF) imaging (Fig. 1, B and C, and fig. S1) in aberration-corrected scanning transmission electron microscopy (STEM), which unambiguously rules out the other possible crystalline structures reported in PbTaSe₂ (23, 27). On the basis of our experimentally confirmed crystal structure, we carry out detailed DFT calculations for the bulk band structure and topological invariant. Our results on the bulk band structures with and without SOC (Fig. 1, D and E, respectively) demonstrate that SOC plays an important role in PbTaSe₂, as previously reported (20, 26). A continuous gap throughout the Brillouin zone is present because of the gap opening by SOC, which is crucial for the topological property of PbTaSe₂. Two groups of bands that lie below and above this continuous gap are completely separated from each other. In this case, the $Z_2$ topological invariant is well defined regardless of the metallicity of the system (28). We use the method proposed by Yu et al. (29) to compute the $Z_2$ invariant of the states below the continuous gap. The calculated $Z_2 = 1$ reveals the necessity of TSSs of PbTaSe₂. TSSs are further evidenced by the calculated surface band structure (Fig. 1F). The orbital decomposition of the surface Pb and Ta atoms reveals that two TSSs stem from Pb $p$ orbitals, whereas Ta $d$ orbitals also contribute significantly around the zone center. Apparently, the topological surface bands do not exhibit linear dispersion even in the vicinity of the crossing point at $E_D \sim E_F + 1.0$ eV at $\Gamma$. Additional quadratic or cubic terms are required to describe the behaviors of Dirac electrons. Hereafter, we use “Dirac point” for brevity despite the nonlinearity. We note that the outer TSS, which represents the upper part of a Dirac cone, is present at $E_F$ because of this nonlinearity, and it strongly merges with the bulk states below $E \sim 300$ meV along $\overline{\Gamma K}$ and below $E \sim -750$ meV along $\overline{\Gamma M}$, evident from the much smaller contribution of surface Pb atoms (gray circles in Fig. 1F). Consequently,
only the inner TSS is well separated from the bulk states at \(E_F\), consistent with the Fermi surface revealed by ARPES (21). This is important because the odd number of TSSs at the Fermi surface is required for the stability of MBSs in TSC-related theoretical proposals (1, 2, 6, 7). The helical spin states of TSSs can be seen from the spin-decomposed band structures (fig. S2). From our DFT results, the TSS of PbTaSe\(_2\) is confirmed by the \(Z_2\) analysis and the fingerprints revealed in the surface band structure, consistent with a previous report (26). Experimentally, ARPES has been very successful in resolving the band structures in TIs. However, in the case of PbTaSe\(_2\), these calculated TSSs and the Dirac point are located above \(E_F\) at \(\sqrt{2}2\), which is not accessible to ARPES. Thus, momentum-sensitive techniques that can probe both occupied and unoccupied states are required to resolve this issue.

Spectroscopic imaging—scanning tunneling microscopy (SI-STM) is an ideal technique for investigating the calculated TSSs and superconductivity in PbTaSe\(_2\) by local density of states (LDOS) mapping in real space and QPI imaging in momentum space. Previous SI-STM and theoretical studies on topological materials have demonstrated that the band structures of TSS and the signature of its spin order can be visualized by QPI imaging (30–37). Our samples are cleaved in ultrahigh vacuum (UHV) at \(T < 20\) K before SI-STM measurements. The cleavage plane is in between Pb and TaSe\(_2\) layers. A topographic image (Fig. 2A) shows a typical cleaved surface with several atomically flat terraces up to a few hundred nanometers. The step height between terraces is \(~0.3\) nm (Fig. 2B), comparable with the shortest distance between Pb and Se. Atomic-resolution STM topographic images taken at different terraces show two distinct surfaces. The lower area in Fig. 2A shows a hexagonal lattice (\(a \sim 0.34\) nm) with atoms of \(2 \times 2\) superstructure residing in between hexagons, consistent with the TaSe\(_2\) layer (Fig. 2C). We thus deduce this to be a Se-terminated TaSe\(_2\) layer. Our calculation shows that the \(2 \times 2\) superstructure arises from the tiny shift in Ta atomic positions (fig. S3).

In contrast, a topographic image taken at the higher area shows a hexagonal lattice (\(a \sim 0.34\) nm) with a strong LDOS modulation from electronic surface states (Fig. 2, D and E). Because Pb \(p\) orbitals dominate TSSs near the bias voltage of topographic images, we identify this as a Pb-terminated surface. We further investigate LDOS in these two types of surfaces by differential tunneling conductance (\(dI/dV\)) measurements. Typical normalized spectra taken at Se-terminated and Pb-terminated surfaces at \(T = 0.26\) K are shown in Fig. 2 (G and H, respectively). The spectrum acquired from a Se-terminated surface shows its metallic nature with increasing DOS below \(E_F\), which we associate with the contribution from bulk electronic states. In comparison, the spectrum taken at a Pb-terminated surface exhibits...
almost identical DOS but with two pronounced peaks at $E \sim 0.5$ eV and $E \sim 0.95$ eV, which are the signatures of a van Hove singularity due to the saddle point of TSSs near $E \sim 0.5$ eV and the local maxima at $E_D$ (Fig. 1F), respectively, indicating the existence of TSSs. Our tunneling spectra are also in agreement with our calculated surface-projected partial density of states (Fig. 2, G and H), affirming our surface identification. Pb $d$ orbitals dominate these TSSs over the energy range of $E_D \pm 0.5$ eV (Fig. 1F). Even for the states close to the Dirac point where Ta $d$ orbitals become significant, Pb $p$ orbitals still have considerable contributions. Therefore, our QPI imaging will focus on the Pb-terminated surface to determine the TSS band structure.

To resolve the $k$-space electronic structures by QPI imaging, we first measure energy-resolved differential conductance maps $dI/dV(r, E = eV)$ on a Pb-terminated surface in the normal state ($T = 6.0$ K) with an energy range from $E = -0.20$ eV to $E = 1.05$ eV by using our homebuilt cryogenic UHV SI-STM (Materials and Methods). In Fig. 3 (A to F), we show simultaneous normalized $dI/dV(r, E)$ maps at six different energies. It is apparent that the electron standing wave exhibits near-circular interference patterns at $E \gtrsim 300$ meV (Fig. 3, A to D), whereas sixfold symmetric patterns are visible at $E \lesssim 300$ meV (Fig. 3, E and F). Then, we analyze the Fourier transform of $dI/dV(r, E)$ maps as a function of energy, and we show $dI/dV(q_1, E)$ maps taken at normal state (Fig. 3, G to L), where $q_1$ is the scattering vector (Fig. 3, G to L). A $q$ vector ($q_1$) with a sharp circular closed contour is observed at $E \gtrsim 500$ meV (Fig. 3, G to I). Its length decreases with increasing energy and vanishes above $E \sim 1.0$ eV. From the helical spin texture of two TSSs and their energy dispersion, we deduce that $q_1$ is the scattering vector connecting the opposite sides of two TSSs, which have the same in-plane spin orientation (Fig. 4A and fig. S4). When energy decreases from $E \sim 500$ meV to $E \sim 300$ meV, $q_1$ becomes blurry and elongated (Fig. 3), coinciding with the dispersion of both TSSs becoming flat and the out-of-plane spin components becoming larger, lifting the in-plane backscattering protection. When the energy is below $E \sim 300$ meV, another $q$ vector ($q_2$) is observed. Furthermore, both $q_1$ and $q_2$ disperse only along the $TM$ direction with a sixfold symmetry below $E \sim 300$ meV (Fig. 3, K and L) because the warping effect on both TSSs (but helical spin polarization is still preserved) opens new scattering channels and the outer TSS overlapping with bulk states near $K$ suppresses QPI along the $\Gamma K$ direction (Fig. 4B and fig. S4). A relatively isotropic $q$ vector ($q_3$) is also observed near $E_D$ (Fig. 3, K and L), which is due to intraband scattering from a bulk band at $\Gamma$. In Fig. 3 (M to R), simulated $dI/dV(q, E)$ images for these two TSSs at the corresponding energies appear to be in agreement with our measurements. In Fig. 4 (A and B), the scattering process of $q_1$ and $q_2$ is depicted on the constant energy contour plots of these TSSs, with calculated spin orientation near $E_D$ and at $E_0$, respectively. The detail energy dispersion of three $q$ vectors extracted from our QPI data along $\Gamma M$ depicted in Fig. 4 (C and D) appears to be in remarkable agreement with our band calculation, and we can deduce that $E_D \sim 1.0$ eV. Therefore, our QPI imaging demonstrates the signature of two TSSs with opposite helical spin polarization and the Dirac point in the unoccupied states of PbTaSe$_2$, consistent with DFT calculations.

Next, we study the superconducting state of PbTaSe$_2$ by temperature-dependent and magnetic field–dependent SI-STM measurements using our homebuilt $^3$He STM (Materials and Methods). The superconducting gap measured at $T = 0.26$ K does not show any spatial dependence on either Pb-terminated or Se-terminated surfaces (fig. S5). The representative tunneling spectrum reveals the fully gapped superconductivity (Fig. 5A). We then compare the tunneling spectrum taken by two STMs used in this study (fig. S7). The only noticeable difference

![Fig. 3. Visualization of QPI on a Pb-terminated surface. (A to F) A sequence of normalized differential conductance $dI/dV(r, E)$ maps taken at normal state ($T = 6$ K). The field of view (FOV) of each image is adjusted to access the region of interest in $q$ space. A larger FOV is required at higher energy near the Dirac point to resolve the smaller $q$ vector. Scale bar, 10 nm (in each image). (G to L) The corresponding FFT of normalized $dI/dV(r, E)$ maps taken in (A) to (F). (M to R) The simulated QPI images at the corresponding energy considering two topological surface bands, with band structures shown in Fig. 1F (details in note S4).](http://advances.sciencemag.org/)

The calculated constant energy contours (CECs) of two TSSs with spin texture at (A) $E = 950$ meV and (B) $E_F$. The black arrows represent the in-plane spin direction, and the blue/red contours show the sign and the magnitude of out-of-plane spin. The color scale indicates the ratio of out-of-plane spin to total spin polarization. The blue and red arrows in each image indicate the relation between topological surface bands with scattering vectors $q_1$ and $q_2$, respectively. The seemingly Rashba surface states are due to the unusual dispersion of the Dirac cone in Fig. 1F, in which the outer and inner CECs (upper and lower parts of the Dirac cone) exist at the same energy. The outer TSS and the bulk state strongly overlap below $E \sim 300$ meV around $E_F$ [gray regions in (B)], leaving a small portion near $E_F$. The energy dispersion of (C) $q_1$ from $E = 500$ meV to $E = 1000$ meV and (D) $q_1$, $q_2$, and $q_3$ from $E = -200$ meV to $E = 300$ meV. Hollow circles are extracted from the measured QPI images along the $\Gamma M$ direction, which are in agreement with the QPI dispersion obtained from our calculated topological surface band structure (solid lines). We do not extract the exact $|q|$ in the energy range between 300 and 500 meV, in which blurry $q_1$ occurs because of flat band dispersion and larger $<Sz>$ in the TSS. $q_1$ is associated with the intraband scattering on hole-like bulk bands at $\Gamma$.

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**Fig. 5. Superconducting state and vortex imaging.** (A) The tunneling spectrum on the Pb-terminated surface at $T = 0.26$ K ($V = 25$ mV, $I = 600$ pA, and lock-in modulation = 50 μV). The black line is the result of a single BCS s-wave gap fitting (details in note S7). The inset shows the zoom-in of the spectrum between 0.2 and -0.2 meV. (B) Temperature evolution of a tunneling spectrum on the Pb-terminated surface. Each spectrum is shifted by 10 nS for clarity. (C) The temperature dependence of a superconducting gap. The black line represents the theoretical temperature dependence from the BCS theory. (D) Differential conductance map at $E_F$ shows the triangular vortex lattice, with an applied magnetic field of 0.05 T at $T = 0.26$ K ($V = 10$ mV, $I = 60$ pA, and lock-in modulation = 250 μV). (E) The tunneling spectrum at the vortex core shows the structure of a vortex bound state ($V = 30$ mV, $I = 400$ pA, modulation = 60 μV, applied magnetic field = 0.05 T, and $T = 0.26$ K). (F) The spatial dependence of a tunneling spectrum from the center of a vortex core toward the next nearest vortex core ($V = 30$ mV, $I = 600$ pA, lock-in modulation = 60 μV, applied magnetic field = 0.05 T, and $T = 0.26$ K).
DISCUSSION

Here, we discuss the implication of our results for the realization of TSC in PbTaSe\(_2\). Bulk measurements have demonstrated PbTaSe\(_2\) to be a BCS s-wave superconductor with a full gap. The absence of a spin-triplet component in bulk superconductivity from the bulk measurements may be due to either the similar magnitude of the singlet and triplet components with the same sign (12) or the lack of strong electron correlations in PbTaSe\(_2\) (43). On the scenario of the superconductivity on the TSS induced by the proximity effect from bulk s-wave superconductivity, helical spin-polarized electrons on the TSS can pair into \(p_x + ip_y\) symmetry and bound Majorana fermions in the vortices (3, 7). Thus, it is also essential to understand the nature of superconductivity on the TSS. For TI/s-wave superconductor heterostructures, if the proximity-induced superconductivity reaches the TI surface, the induced gap is expected to be smaller than the bulk gap because the pairing amplitude quickly decays with increasing distance between the TI surface and the interface (44). In contrast, for an intrinsic superconducting TI with bulk s-wave pairing, the induced gap magnitude is shown to be similar to the bulk gap (45). If we fit our tunneling spectrum with a model with two full gaps, we can obtain two gaps with comparable magnitudes. A larger superconducting gap on the TSS may also be induced as a result of parity mixing of the pairing potential enhanced by surface Dirac fermions (46). A tunneling spectrum on superconducting Cu\(_{0.5}\)Bi\(_{0.5}\)Se\(_3\) and Sr\(_{0.5}\)Bi\(_{0.5}\)Se\(_3\) also does not show an additional superconducting gap (10, 47, 48), which is proposed to be due to the doping evolution of the Fermi surface (46, 49). Meanwhile, recent nuclear magnetic resonance (50) and specific heat (51) measurements on Cu\(_{0.5}\)Bi\(_{0.5}\)Se\(_3\) report rotational symmetry breaking in spin rotation and superconducting gap amplitude, indicating topologically nontrivial superconductivity. Whether this also occurs in PbTaSe\(_2\) requires further investigation. In principle, bound states at magnetic and nonmagnetic impurities can be very helpful in determining the pairing symmetry of unconventional superconductors (52). Because no well-defined interface exists between TSSs and bulk states in stoichiometric PbTaSe\(_2\) and both states contribute to the measured tunneling current, which is evident from our QPI images, experimentally, it is not straightforward to separate the contribution between bulk and TSS superconductivity in a tunneling spectrum, and realistic theoretical models are required for comparison. Further experiments, such as band-selective superconducting gap mapping using QPI (53) or impurity-dependent spin-polarized QPI imaging (54), are more suitable for understanding the superconductivity on TSSs and bulk states in PbTaSe\(_2\).

In summary, our data unveil the nontrivial band topology of PbTaSe\(_2\) in the normal state, showing two TSSs with opposite helical spin polarization touching at \(E_\text{F}\) ~ 1.0 eV at \(\Gamma\). The inner TSS is fully isolated from the nearby bulk states at \(E_\text{F}\), whereas the outer TSS heavily merges with bulk states at \(E_\text{F}\). The proximity-induced fully gapped superconducting TSS is observed for the first time in a stoichiometric bulk material. This reveals that stoichiometric bulk PbTaSe\(_2\) and closely related compounds hold promise as TSCs. PbTaSe\(_2\) is especially promising because it has all components necessary for TSC as a consequence of the surface-bulk proximity effect. It is now imperative to understand the nature of the superconductivity of the TSS and to explore the novel topological superconducting phases for future applications, such as topological quantum computation.

MATERIALS AND METHODS

The DFT calculations were carried out using Quantum Espresso (55) with norm-conserving pseudopotentials (56). SOC was included to study the topological properties. The \(k\)-meshes used to sample the Brillouin zone were \(15 \times 15 \times 6\) and \(8 \times 8 \times 1\) in the bulk and surface calculations, respectively. A slab consisting of a thickness of 8 unit cells (33 atomic layers), with both sides terminated at the layer of Pb, was used in surface calculations. The energy cutoff of the plane wave expansion was 50 Ry. The lattice parameters from the experiments were adopted in the calculations (\(a = 3.42\ \text{Å}\) and \(c/a = 2.74\)).

The STEM investigations were conducted on a JEOL-2100F microscopy operated at 200 keV and equipped with a CEOS spherical aberration corrector, and STEM HAADF imaging was performed at an annular collection angle of 72 to 192 mrad. Electron diffraction studies were performed on a FEI-Tecnai microscope at 200 keV. All images were unprocessed and taken at room temperature.
STM measurements

Single crystals of PbTaSe$_2$ were cleaved at $T < 20$ K in UHV and immediately inserted into the STM head for measurement. For QPI measurements in the normal state, we used a homebuilt cryogenic UHV SI-STM with a base temperature of 1.6 K, a magnetic field of up to 9 T, and a hold time of ~ 10 days. For the study of the superconducting state, we used a homebuilt $^3$He STM with a base temperature of 0.25 K, a magnetic field of up to 7 T, and a hold time of ~ 24 hours. Etched tungsten wires were used for STM tips. A standard ac lock-in technique was used for differential conductance measurements. QPI maps were unprocessed, except that only $q = 0$ was suppressed to enhance the overall contrast of images.

**SUPPLEMENTARY MATERIALS**

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/2/11/e1600894/DC1

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Superconducting topological surface states in the noncentrosymmetric bulk superconductor PbTaSe$_2$

Syu-You Guan, Peng-Jen Chen, Ming-Wen Chu, Raman Sankar, Fangcheng Chou, Horng-Tay Jeng, Chia-Seng Chang and Tien-Ming Chuang (November 23, 2016)

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